

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Paul L. HERMONAT

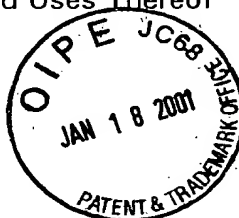
Title: Adeno-Associated Virus AAV Rep78 Major Regulatory Protein Mutants Thereof and Uses Thereof

Appl. No.: 09/693,908

Filing Date: 10/23/2000

Examiner: Unassigned

Art Unit: 1642



TRANSMITTAL OF MISSING PARTS
OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

Attn: BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts of Application mailed on December 20, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

Enclosed are:


- [X] Declaration and Power of Attorney (3 pages)
- [X] Verified Statement Claiming Small Entity Status (2 pages)
- [X] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
- [X] Check in the amount of \$645.00 in payment of the \$355.00 official Government filing fee, including \$65.00 surcharge; \$225.00 for twenty five (25) additional Dependent claims

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date January 18, 2001

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
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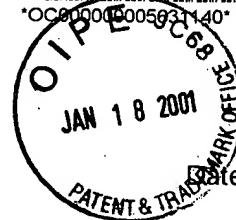
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/693,908	10/23/2000	Paul L. Hermonat	023533/0130

Patricia D. Granados
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FORMALITIES LETTER



OC000000005931140



Date Mailed: 12/20/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

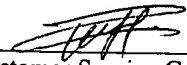
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit ~~\$710~~ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27). \$355.00
- Total additional claim fee(s) for this application is ~~\$450~~. *\$225.00*
 - ~~\$450~~ for 25 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of ~~\$130~~ for a non-small entity, must be submitted with the missing items identified in this letter.
\$65.00
- The balance due by applicant is ~~\$1290~~. *\$645.00*

A copy of this notice **MUST** be returned with the reply.


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/19/2001 53U016 00000032 09693908
01 FC:201 355.00
02 FC:203 225.00
03 FC:205 65.00